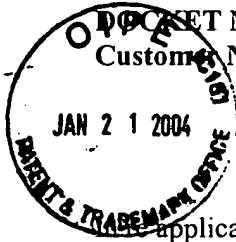


120

AG/2815



DOCKET NO. 01-P-002 (STMI01-00013)  
Customer No. 30425

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application of: : CHARLES R. SPINNER, III ET AL  
Serial No. : 09/871,463  
Filed : May 31, 2001  
For : BARRIER FILM DEPOSITION OVER METAL FOR  
REDUCTION IN METAL DISHING AFTER CMP  
Group No. : 2815  
Examiner : M.E. Warren

## MAIL STOP APPEAL BRIEF - PATENTS

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

CERTIFICATE OF MAILING BY FIRST CLASS MAIL

The undersigned hereby certifies that the following documents:

1. Postcard Receipt;
2. Information Disclosure Statement;
3. PTO/SB/08A;
4. Copy of European Search Report for European Application No. EP 02253628.8; and,
5. Six (6) references

relating to the above application, were deposited as "First Class Mail" with the United States Postal Service, addressed to: MAIL STOP APPEAL BRIEF - PATENTS, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on January 15, 2004.

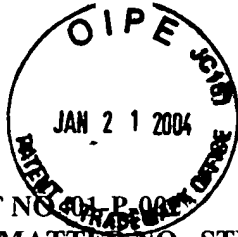
Date: 1/15/04

Kathy Cedar  
Mailer

Date: 1-15-04

Daniel E. Venglarik, Esq.  
Reg. No. 39,409

Docket Clerk  
P.O. Box 802432  
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DOCKET NO. 01-P-0013  
CLIENT/MATTER NO. STMI01-00013  
Customer No. 30425

PATENT

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: : CHARLES R. SPINNER, III ET AL  
Serial No. : 09/871,463  
Filed : May 31, 2001  
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Group No. : 2815  
Examiner : M.E. Warren

**MAIL STOP APPEAL BRIEF - PATENTS**

Commissioner of Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT**

Pursuant to the duty of disclosure under 37 C.F.R. § 1.56, Applicant submits this statement. This submittal is made in accordance with 37 C.F.R. §§ 1.97 and 1.98 and § 609 of the Manual of Patent Examining Procedure. The patents, publications and other information herein are listed below and on the attached Form PTO/SB/08A. These references were located in a European Search Report issued in the related European Patent Application No. EP 02253628.8, a copy of which is attached hereto. Copies of the listed references (except for those previously cited) are submitted herewith.

**U.S. Patents**

**PATENT NO.**

5,618,381

**INVENTOR**

Doan et al.

**DATE**

April 8, 1997

<u>PATENT NO.</u>	<u>INVENTOR</u>	<u>DATE</u>
6,054,383	Suzuki et al.	April 25, 2000
6,096,632	Drynan	August 1, 2000
6,169,028	Wang et al.	January 2, 2001
6,492,260	Kim et al.	December 10, 2002

Publications

DATABASE WPI, Section Ch. Week 200116, Derwent Publications Ltd., London, GB; Class L03, An 2001-156149, XP 002260086 & KR 2000 037 768A (SAMSUNG ELECTRONICS CO. LTD), 5 July 2000 (2000-07-05), abstract.

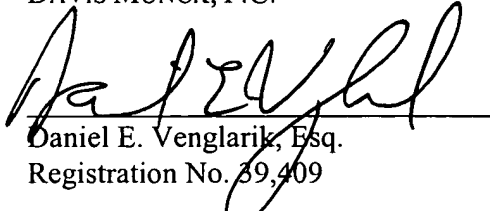
Applicant hereby expressly reserves the right to swear behind the effective dates of any of the above Patents and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

In accordance with 37 C.F.R. 1.97(e), the undersigned certifies that each item of information contained in the Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

Further, pursuant to 37 C.F.R. § 1.97(e) and the above statements, no fee is believed to be due for the filing of this Information Disclosure Statement.

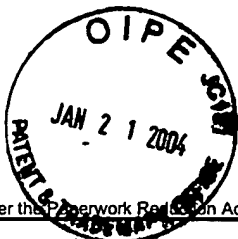
Respectfully submitted,

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PTO/SB/08B (08-03)

Approved for use through 07/31/2006. OMB 0651-0031  
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Substitute for form 1449/PTO

**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/871,463
Filing Date	May 31, 2001
First Named Inventor	Charles R. Spinner, III
Art Unit	2815
Examiner Name	M.E. Warren
Attorney Docket Number	01-P-002 (STMI01-00013)

Sheet 2 of 2

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
	BA	DATABASE WPI, Section Ch. Week 200116, Derwent Publications Ltd., London, GB; Class L03, An 2001-156149, XP 002260086 & KR 2000 037 768A (SAMSUNG ELECTRONICS CO. LTD), 5 July 2000 (2000-07-05), abstract.	

Examiner  
SignatureDate  
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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